

Banking and Finance Law

Bank- och finansrätt
7.5 credits

Single subject course

747A48

Valid from: 2025 Autumn semester

Determined by	Main field of study	
The Quality Board at the Faculty of Arts and Sciences	Commercial Law	
Date determined	Course level	Progressive specialisation
2017-12-19	Second cycle	A1N
Revised by	Disciplinary domain	
	Law	
Revision date	Subject group	
	Law and Legal Studies	
Offered first time	Offered for the last time	
Autumn semester 2018		
Department	Replaced by	
Institutionen för ekonomisk och industriell utveckling		

Entry requirements

- 180 ECTS credits passed with 90 ECTS credits in one of the subject areas of Business Law, Economics or Business Administration
- English corresponding to the level of English in Swedish upper secondary education (Engelska 6)
Exemption from Swedish

Intended learning outcomes

After completing the course, the student shall be able to:

- Describe and critically evaluate the regulation of banks and financial systems.
- Describe and assess the regulation of collective investments and fund management.
- Analyze the evolution of legal regulation in response to technological innovations in the financial sector.
- Examine the development of sustainability-related regulation and investor/consumer protection regulation within the financial field.
- Communicate conclusions and arguments in banking and finance law clearly and effectively, both orally and in writing.

Course content

During the course, the regulatory framework governing the financial system is examined, with particular attention to key institutions and supervisory authorities at the national, European Union (EU) and international levels. The course focuses on the regulation of banks, payment service providers, mutual funds and other actors in the international capital and securities markets, with an emphasis on compliance frameworks and obligations. The course covers the regulation of payment and settlement systems, capital and liquidity requirements, bank supervision, and the insolvency and resolution of banks.

It also addresses the regulatory frameworks for collective investment schemes and various types of investment funds. In addition, the course explores the impact of technological developments in the financial sector, particularly within the FinTech industry. Ethical and sustainability considerations are integrated throughout the course, including topics such as ethical investing, environment, social responsibility, corporate governance, anti-money laundering measures and investor and consumer protection.

Teaching and working methods

Teaching is conducted through a combination of lectures, seminars, exercises, and assignments.

In addition, students are expected to engage in independent study.

Examination

The course is assessed through the following components:

- Active participation in seminars, grading scale: Pass/Fail EC
- Group Assignments, grading scale: Pass/Fail EC
- Individual written examination, grading scale: EC

If special circumstances prevail, and if it is possible with consideration of the nature of the compulsory component, the examiner may decide to replace the compulsory component with another equivalent component.

If the LiU coordinator for students with disabilities has granted a student the right to an adapted examination for a written examination in an examination hall, the student has the right to it.

If the coordinator has recommended for the student an adapted examination or alternative form of examination, the examiner may grant this if the examiner assesses that it is possible, based on consideration of the course objectives.

An examiner may also decide that an adapted examination or alternative form of examination if the examiner assessed that special circumstances prevail, and the examiner assesses that it is possible while maintaining the objectives of the course.

Students failing an exam covering either the entire course or part of the course twice are entitled to have a new examiner appointed for the reexamination.

Students who have passed an examination may not retake it in order to improve their grades.

Grades

ECTS, EC

Other information

Planning and implementation of a course must take its starting point in the wording of the syllabus. The course evaluation included in each course must therefore take up the question how well the course agrees with the syllabus.

The course is conducted in such a way that there are equal opportunities with regard to sex, transgender identity or expression, ethnicity, religion or other belief, disability, sexual orientation and age.

If special circumstances prevail, the vice-chancellor may in a special decision specify the preconditions for temporary deviations from this course syllabus, and delegate the right to take such decisions.

About teaching and examination language

The teaching language is presented in the Overview tab for each course. The examination language relates to the teaching language as follows:

- If teaching language is “Swedish”, the course as a whole could be given in Swedish, or partly, or as a whole, in English. Examination language is Swedish, but parts of the examination can be in English.
- If teaching language is “English”, the course as a whole is taught in English. Examination language is English.
- If teaching language is “Swedish/English”, the course as a whole will be taught in English if students without prior knowledge of the Swedish language participate. Examination language is Swedish or English depending on teaching language.